



PIPER SERICA ADVISORS PVT. LTD.

**A Wing, 905/906, Marathon Innova Nextgen, Ganpatrao Kadam
Marg, Opp. Peninsula Corporate Park, Lower Parel Mumbai -400 013**

Name of Portfolio Manager: Piper Serica Advisors Private Limited

PMS Registration No: INP000006749

Data for the month ending: November 2024

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending [#]	Pending Complaints > 3 months	Average Resolution time [^] (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by the total number of complaints resolved in the current month

Trend of monthly disposal of complaints					
Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending [#]
1	April-24	0	0	0	0
2	May-24	0	0	0	0
3	June-24	0	0	0	0
4	July-24	0	0	0	0
5	August-24	0	0	0	0
6	September-24	0	0	0	0
7	October-24	0	0	0	0
8	November-24	0	0	0	0
	Grand Total	0	0	0	0

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month



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Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved**	Pending##
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
4	2021-22	0	0	0	0
5	2022-23	0	0	0	0
6	2023-24	0	0	0	0
7	2024-25***	0	0	0	0
	Grand Total	0	0	0	0

** Inclusive of complaints of previous years resolved in the current year

Inclusive of complaints pending as on the last day of the year

***Complaints data is for the period: April 1, 2024 to November 30, 2024